Grant Writing for Funding Agencies

Cynthia R. Long, PhD Christine Goertz Choate, DC, PhD Palmer Center for Chiropractic Research Contact info: Cyndy.Long@Palmer.edu

AFP Pro Bono Day, 11 February 2009

Becoming Familiar with Funding Agency/Organization Policies and Procedures

- Visit the website
- Review application procedures
- Be aware of funding priorities

Policies and Procedures

- Call the program officer or agency/organization contact person for grants
 - Ask questions about:
 - Specific policies and procedures
 - The best grant mechanisms to use for your proposed grant idea
 - Availability of funding
 - Timeline for how long it will take to receive funding
 - Whether or not your grant idea of programmatic interest
 - Potential collaborators in your area of interest
 - Other agencies that might be interested in your issue/topic
 - Best next steps if your application does not receive a fundable score
 - Become familiar with the forms that are required to submit a grant application
 - Paper application or web based?
 - Number of pages you have to describe your proposed work
 - Type of information required by the funding agency in order to make funding decisions

Know the Agency/Organization Scientific or Merit Review Process

- Is the applicant a person or an organization/institution?
- Are applications reviewed at specific times of the year?
- How many applications are generally reviewed at the same time?
- Are applications reviewed together on similar or diverse topics?
- How many applications are reviewed each year?
- How many are funded?
- Who makes the final decision about funding priorities?
- Can you talk to that person about level of interest before you submit?

Pay Attention to the Agency/Organization Review Criteria

- Significance
 - o Does this study/grant topic address an important problem?
 - If the aims of the application are achieved, how will scientific knowledge or public policy be advanced?

Approach

- Are the conceptual framework, design, methods, and evaluation processes adequately developed, well integrated, and appropriate to the goals of the project?
- Does the applicant acknowledge potential problem areas and consider alternative tactics?

Innovation

- o Does the project employ novel concepts, approaches or method?
- Are the goals original and innovative?
- Does the project challenge existing paradigms or develop new methodologies, models, or technologies?

Team

- Is the person(s) responsible for executing the goals of the project/study appropriately trained and well suited to carry out this work?
- o Is the work proposed appropriate to his/her experience level?

Environment

- Does the environment in which the work will be done contribute to the probability of success?
- Does the proposed work take advantage of unique features of the environment or employ useful collaborative arrangements?
- o Is there evidence of institutional support?

Important Components in a Grant Proposal

- A new idea
- Logical presentation
- Significance clearly stated
- Relationship to past research or related work delineated
- Proposed activities clearly described
- Iustification of resources needed
 - Personnel
 - Equipment
 - Other costs

What Should a Grant Proposal Convey?

- Enthusiasm/Potential impact
- Very clear theoretical construct or model
- Assurance that the project can be completed as described

Basic Components

- Title page
- Abstract
- Introduction
- Specific aims or project goals
- Literature review
- Project description
- Budget
- Budget explanation

- Special considerations
- Curriculum vita
- Appendices

Title

- Descriptive
- Clear
- Concise
- One sentence
- Avoid:
 - Jargon
 - Words with multiple interpretations
 - Flippancy
 - Controversial terms

Abstract (executive summary or summary)

- Purpose:
 - Summarizes key information
 - o Explains significance
 - o Potential contribution
- First opportunity to get your reader excited about your project
- Content:
 - Overview of the problem
 - o Project goals or specific aims
 - o Brief summary of procedures and methods
 - Likely outcomes and benefits

Introduction

- Purpose
 - o Establish your credibility
 - Significance of your ideas
 - How your idea relates to the mission and priorities of funding source (if applicable)
- Content
 - o Background
 - Describe goals
 - o Establish who you are
 - Emphasize particular expertise
 - Evidence of relevant accomplishments
 - o Relate to interests/priorities of funding source
 - Lead logically to the problem statement
 - o Present in brief concise manner, avoiding jargon

Problem Statement

- Purpose
 - Reason behind your proposal
 - o What purpose you hope your funded work will achieve

- Content
 - o The "so-what" factor
 - Show problem in the perspective of the larger field
 - State problem generally
 - Betterment of humankind
 - Project's contribution to theory and knowledge of the phenomenon
 - Describe the value of concrete applications of the knowledge

Literature Review

- Purpose
 - Builds further understanding of the problem
 - Solidly anchored in past work yet moving beyond the work
 - It indicates:
 - One's grasp of the field
 - One's methodological sophistication in critiquing other's work
 - The breadth and depth of one's reading
 - o How the project may lead to policy change or improve human health
- Content
 - Review of literature
 - Discuss studies in sufficient detail
 - Summarize pertinent information
 - Describe how your proposed work contributes to previous work
 - Indicate how your work builds upon and/or moves beyond the previous work in the area
 - Point out technical flaws (and describe how your work will avoid these flaws)
 - Include most recent literature
 - Make sure it is relevant
 - Use literature from other disciplines if applicable
 - Mention current unpublished research
 - Thoroughly discuss theoretical basis
 - o Describe your model clearly
 - Use graphics/diagrams if possible

Goals or Specific Aims

- Purpose
 - Form the basis for judging the proposal
 - Outline what you plan to accomplish
 - o Demonstrates the appropriateness of the plan's proposed methods

Goals

- Purpose
 - o Theoretical basis for your proposed work
 - o Build bridge from theory to implementation
 - Can be evaluated

- Can be clearly met using the methods your propose
- Format
 - o Specific, concrete, and achievable
 - o 1-2 sentences for each goal
 - Ordered by importance or contribution
 - o Follow each major goal with its specific sub-goals
 - Avoid unnecessary wording
 - Stand out on page
 - Bullets, numbers, and indentations
 - o Flow neatly from one to another

Project Description

- Purpose
 - To describe project activities
 - How goals will be accomplished
 - o Describe the sequences, flow, and interrelationship of activities
 - Planned staffing
- Procedural Section
 - Write 1 overview paragraph
 - Describe:
 - How?
 - When?
 - Why?
 - Where?
- Limits
 - Design needs to be realistic
 - Level of resources
 - Ethical considerations
 - Access and cooperation to other institutions
 - Time available
- Subsections
 - Who or what will be evaluated
 - Design
 - Data and instrumentation
 - Analysis or evaluation
 - Work Plan
 - Expected end products

Dissemination of Results

- Remember goal is to have some sort of impact
- Consider how the results will be used
- Communication strategy
 - Conferences
 - White paper dissemination
 - Anticipated journal articles

Common Weaknesses in Proposal Writing

- Procedure Section (most common_
 - o Insufficient, vague, or unclear description
 - o Discrepancies between the objectives and procedures
 - Design flaws
- Problem Section
 - o Limited significance Statements were nebulous, diffuse, or unclear
 - Lack of theoretical basis/model poorly explained

Details Make All the Difference...

- Avoid Common Errors
 - Literature Review/Purpose
 - Address all study goals
 - Include the most current relevant literature
 - Be well synthesized
 - Goals
 - State clearly
 - Don't have too many
 - Match the study design
 - Preliminary Studies
 - Relevant to proposed work
 - Clearly delineated
 - Include appropriate data
 - Design
 - Appropriate to answer question
 - Described in sufficient detail
 - Outcomes measures
 - Sampling issues
 - Appropriate statistical tools
 - Use spell check
 - Avoid small font size
 - Beware of cut and paste tools
 - Ask a colleague to proof-read for clarity, consistency, and grammar after all major revisions are completed